

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

2314-268

First Inventor

Ann H. CORNELL-BELL et al.

Title **USES OF KAPPA-CONOTOXIN PVIIA**

☒ **Applicant for Published Patent Application:** Cognetix, Inc.
Salt Lake City, Utah

APPLICATION ELEMENTS

ADDRESS TO: **Assistant Commissioner of Patents
Box Patent Application
Washington, D.C. 20231**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ **Fee Transmittal Form**
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
3. ☒ **Specification** Total pages [41]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross references to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☒ **Drawing(s)** (35 USC 113) (Total Sheets) [6]
5. ☒ **Oath or Declaration** (Total Pages) [3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
6. ☒ **Application Data Sheet.**

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper (8 pages)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
 - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement /PTO 1449
 - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☐ Other:

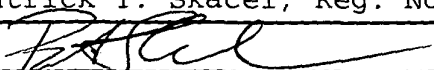
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner C.M. Kam Group/Art Unit 1653

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label **6449**

| | | | | | |
|-----------|---|-----------|--------------|----------|---------------|
| Address | Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W. | | | | |
| City | Washington | State | D.C. | Zip Code | 20005 |
| Country | U.S.A. | Telephone | 202-783-6040 | Fax | 202-783-6031 |
| Name | Patrick T. Skacel, Reg. No. 47,948 | | | | |
| Signature |  | | | Date | July 28, 2003 |

**FEE TRANSMITTAL
for FY 2003
(Small Entity)**

Complete if Known

| | | | | |
|---|------|----------------------|------------------------|----------------------------|
| FEE TRANSMITTAL for FY 2003 (Small Entity) | | Application Number | | |
| | | Filing Date | | |
| | | First Named Inventor | | Ann H. CORNELL-BELL et al. |
| | | Examiner Name | | |
| | | Group Art Unit | | |
| Total Amount of Payment | (\$) | 375.00 | Attorney Docket Number | 2314-268 |

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status.
2. ☒ Payment Enclosed:
- ☒ Check
- ☐ Credit Card

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Fee Paid | Fee Description | Fee Paid |
|---------------------|----------|--|----------|
| 2051 | 65 | Surcharge - late filing fee or oath | [] |
| 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | [] |
| 1053 | 130 | Non-English specification | [] |
| 1812 | 2,520 | For filing a request for reexamination | [] |
| 1804 | 920 | Requesting publication of SIR prior to Examiner action | [] |
| 1805 | 1,840* | Requesting publication of SIR after Examiner action | [] |
| 2251 | 55 | Extension for reply within first month | [] |
| 2252 | 205 | Extension for reply within second month | [] |
| 2253 | 465 | Extension for reply within third month | [] |
| 2254 | 725 | Extension for reply within fourth month | [] |
| 2255 | 985 | Extension for reply within fifth month | [] |
| 2401 | 160 | Notice of Appeal | [] |
| 2402 | 160 | Filing a brief in support of an appeal | [] |
| 2403 | 150 | Request for Oral Hearing | [] |
| 1451 | 1,510 | Petition to institute a public use proceeding | [] |
| 2452 | 55 | Petition to revive - unavoidable | [] |
| 2453 | 650 | Petition to revive - unintentional | [] |
| 2501 | 650 | Utility issue fee (or reissue) | [] |
| 2502 | 235 | Design issue fee | [] |
| 2503 | 315 | Plant issue fee | [] |
| 1460 | 130 | Petitions to the Commissioner | [] |
| 1807 | 50 | Processing fee under 37 CFR 1.17(q) | [] |
| 1806 | 180 | Submission of Information Disclosure Statement | [] |
| 8021 | 40 | Recording each patent assignment per property (times number of properties) | [] |
| 2809 | 375 | Filing a submission after final rejection (37 CFR .129(a)) | [] |
| 2810 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | [] |
| 2801 | 375 | Request for Continued Examination (RCE) | [] |
| 1802 | 900 | Request for expedited examination of a design application | [] |
| 1504 | 300 | Publication fee for early, voluntary, or normal publication | [] |
| 1505 | 300 | Publication fee for republication | [] |
| 1455 | 200 | Filing an application for patent term adjustment | [] |
| 1456 | 400 | Request for reinstatement of term reduced | [] |
| Other fee (specify) | | | [] |

FEE CALCULATION

1. FILING FEE

| Fee Code | Fee \$ | Fee Description | Fee Paid |
|----------|--------|------------------------|----------|
| 2001 | 375 | Utility filing fee | [375] |
| 2002 | 165 | Design Filing Fee | [] |
| 2003 | 260 | Plant Filing Fee | [] |
| 2004 | 375 | Reissue Filing Fee | [] |
| 2005 | 80 | Provisional Filing Fee | [] |

SUBTOTAL \$375

2. CLAIMS


| Total Claims | Extra Claims | Fee | Fee Paid |
|--|--------------|------------|----------|
| [19] - 20** = [] x | | \$ 9 = [] | |
| Independent Claims [2] - 3** = [] x | | 42 = [] | |
| Multiple Dependent Claims + | | 140 = [] | |

**or number previously paid, if greater;

SUBTOTAL \$

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$

| | | | |
|----------------------|---|--------------------------|---------------|
| SUBMITTED BY | | Complete (if applicable) | |
| NAME AND REG. NUMBER | Patrick T. Skacel, Reg. No. 47,948 | | |
| SIGNATURE |  | DATE | July 28, 2003 |
| | | DEPOSIT ACCOUNT USER ID | |